

17638 U.S. PTO  
051904

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No: EP-1020-CIP-CON

Anticipated Classification of  
this application:

Class: Subclass:

Prior Application: 09/884,306

Examiner:

Art Unit:

22141 U.S. PTO  
10/848802  
051904

Commissioner for Patents  
Alexandria, VA 22313-1450

Sir:

This is a request for filing a [X] continuation [ ] divisional application under 37 CFR 1.53(b), of pending prior application Serial No. 09/884,306, filed on 06/19/01, of Starnes et al., for ORGANIC THIOL METAL-FREE STABILIZERS AND PLASTICIZERS FOR HALOGEN-CONTAINING POLYMERS.

1. [X] Enclosed is a copy of the prior application, including the oath or declaration as originally filed and an affidavit or declaration verifying it as a true copy.
  - a.[X] Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
2. [X] The filing fee is calculated below:

CLAIMS AS FILED IN THE PRIOR APPLICATION, PLUS OR MINUS  
ANY CLAIMS ADDED OR CANCELLED BY ANY AMENDMENTS NOTED BELOW

SMALL ENTITY LARGE ENTITY

Total Claims 19 - 20 = 0 X \$9.00/\$18.00 = \$ 0

Independent Claims 3 - 3 = 0 X \$43.00/\$86.00 = \$ 0

Multiple dependent claims, if any, add surcharge of \$145.00/\$290.00 = \$ 0

Basic fee for large entity: \$770.00

**TOTAL FILING FEE: \$ 770.00**

3. ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Account No. 08-3150. A duplicate copy of this sheet is enclosed.
4. ☒ A check in the amount of \$770.00 is enclosed.
5. ☒ Cancel in this application original Claims 2-22 of the prior application before calculating the filing fee (at least one original independent claim must be retained for filing purposes) so that only Claim 1 remains in this application.
6. ☐ Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file. (May only be used if signed by a person authorized by Rule 138 and before payment of the Base Issue Fee.)
7. ☐ Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in (country) is claimed under 35USC §119.
- 7a. ☐ The certified copy has been filed in prior application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.
8. ☒ The prior application was assigned of record to The College of William and Mary, said Assignment recorded on 06/19/01, at Reel 011932, Frames 0169.
9. ☒ The power of attorney in the prior application is to Daniel J. Hudak, Reg. No. 25,879; Laura F. Shunk, Reg. No. 31,423; Cheryl L. Farine, Reg. No. 36,796; Frank C. Rote, Jr.; Nestor W. Shust, Reg. No. 23,034, Michele M. Tyrpak, Reg. No. 42,192; and Daniel J. Hudak, Jr., Reg. No. 47,669.
- a. ☐ The power appears in the original papers in the prior application. An associate Power of Attorney is included.
- b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. ☒ Address all future communications to:

Daniel J. Hudak, Jr.  
HUDAK, SHUNK & FARINE CO. LPA  
2020 Front Street, Suite 307  
Cuyahoga Falls, OH 44221

10. [X] A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
11. [X] I hereby verify that the attached papers are a true copy of prior application Serial No. 09/884,306 as originally filed on 06/19/01.
12. [X] An Information Disclosure Statement is enclosed herewith

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

5/19/04  
(Date)

Daniel J. Hudak, Jr.  
Daniel J. Hudak, Jr.

Address of signator:

HUDAK, SHUNK & FARINE CO. LPA  
2020 Front Street  
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Cuyahoga Falls, OH 44221

[ ] Inventor(s)  
[ ] Assignee of complete interest  
[X] Attorney or Agent of Record  
[ ] Filed under Rule 34(a)

Telephone: (330) 535-2220

Attorney Docket: EP-1020-CIP-CON

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**  
**UNDER 37 CFR 1.10 - SEPARATE PAPER**

Applicant: Starnes et al.

Serial No.:

For: **"ORGANIC THIOL METAL-FREE STABILIZERS AND PLASTICIZERS FOR  
HALOGEN-CONTAINING POLYMERS"**

Examiner:

Group Art Unit:

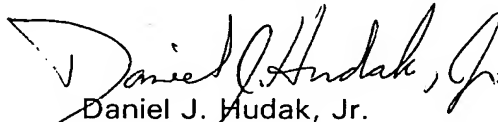
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Date of Deposit: May 19, 2004

I hereby certify that this paper or fee is being deposited in the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Commissioner for Patents, Alexandria, VA 22313.

Respectfully submitted,

**HUDAK, SHUNK & FARINE CO. LPA**

  
Daniel J. Hudak, Jr.  
Registration No. 47,669

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Attorney Docket No.: EP-1020-CIP-CON

Enclosures: Express Mail Certificate

Return Postcard

A Transmittal for A Continuation Application under 37 CFR 1.53(b)

A copy of the parent application SN 09/884,306 filed 6/19/01 (43 pages)

Preliminary Amendment

A copy of a Declaration and Power of Attorney

A copy of Notice of Recordation and Assignment

Information Disclosure Statement, PTO 1449, 11 Foreign References and  
10 Articles

Check in the amount of \$770.00-Filing Fee